

CLARK & WEINSTOCK INC. SECRETARY OF THE SENATE

99 JUL -6 AM 9:38

H.D.

June 30, 1999

Mr. Gary Sisco
Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510

Dear Mr. Sisco:

On behalf of Clark & Weinstock, enclosed is Form LD-1 Lobbying Registration for our client, Datek Online Holdings Corporation.

Please acknowledge receipt of this filing by stamping the enclosed copy of this letter and returning it in the enclosed postage-paid envelope.

Thank you very much.

Sincerely,


Cheryl Faunce

Enclosure

52 Vanderbilt Avenue • New York, NY 10017 • Tel: (212) 953-2550 • Fax: (212) 953-2564
1775 I Street, NW • Washington, DC 20006 • Tel: (202) 261-4000 • Fax: (202) 261-4001

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☐

1. Effective Date of Registration May 20, 1999

2. House Identification Number _____ Senate Identification Number _____

REGISTRANT

3. Registrant name Clark & Weinstock

Address 1775 I Street NW Suite 700

City Washington State DC Zip 20006

4. Principal place of business (if different from line 3)

City New York State/Zip (or Country) NY 10017

5. Telephone number and contact name

(202) 261-4005 Contact Cheryl Faunce E-mail (optional) cfaunce@cwdc.com

6. General description of registrant's business or activities

Strategic communications and Public Affairs consulting

CLIENT A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box.

labeled "Self" and proceed to line 10. ☐ Self

7. Client name Datek Online Holdings Corporation

Address 399 Thornall Street, 2nd Floor

City Edison State NJ Zip 08837

8. Principal place of business (if different from line 7)

City _____ State/Zip (or Country) _____

9. General description of client's business or activities

Online brokerage firm and electronic communications network

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
Ed Kutler	Asst. to the Speaker of the U.S. House of Representatives
Mimi Simoneaux	LD for Rep. Billy Tauzin
Deirdre Stach, Vin Weber	
Andrew Goldman, Mitch Bainwol	
Vic Fazio (Exec. Branch Only)	Member of Congress
Sandi Stuart	Asst. Sec. of Defense

Registrant Name Clark & Weinstock Client Name Datek Online Holdings Corporation

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

FIN CSP GOV

12. Specific lobbying issues (current and anticipated)

Monitor congressional and SEC activities re: online trading. Special attention should be paid to statements and hearings pertaining to trade execution lag times, outages and the effect of Day Traders on market volatility. Monitor congressional concerns about the privacy of (see attachmt)

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

☐ No Go to line 14.

☒ Yes I Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)
The Island E.C.N.	50 Broad Street New York, NY 10004	New York, NY

FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; OR
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; OR
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☒ No Sign and date the registration.

☐ Yes I Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

Signature V. H. Fin Date June 30, 1999

Printed Name and Title Vic Fazio, Partner

Registrant Name Clark & Weinstock Client Name Datek Online Holdings Corporation

12. Specific lobbying issues (current and anticipated)

Continued - personal information standards. Monitor the potential effects of digital signatures on online brokerage. Educating congressional members and their staff on the consumer benefits of Electronic Communications Networks. Assist The Island E.C.N. with pending stock exchange filing and ongoing government relations.